

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt

Applicant: Gutierrez et al. Examiner: Not yet assigned  
 Serial No.: 09/836,003 Group Art Unit: 1722  
 Filed: April 17, 2001 Docket: 17678-12  
 Due Date: N/A  
 Title: POWDER PROCESSING

CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this correspondence and identified enclosures are being deposited with the United States Postal Service, first class mail, postage prepaid, under 37 C.F.R. 1.8 on the date indicated, and is addressed to the Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231 on October 8, 2001.

*Kelly Walsh*  
 Kelly Walsh

Commissioner for Patents  
 Office of Initial Patent Examination  
 Customer Service Center  
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Request for Corrected Filing Receipt
- ☒ Copy of Official Filing Receipt
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 152230. A duplicate of this sheet is enclosed.

OPPENHEIMER WOLFF & DONNELLY LLP  
 2029 Century Park East, 38th Floor  
 Los Angeles, CA 90067-3024  
 (310) 788-5000

By: *Spyros J. Lazaris*  
 Name: Spyros J. Lazaris  
 Reg. No.: 45,981  
 SJL:k

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S/N 09/836,003



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10-2501  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Kelly Walsh

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Enclosed is a photocopy of the filing receipt from the United States Patent and Trademark Office in the above-identified application showing requested corrections. The filing receipt is erroneous in the following respects as reflected in the papers as originally filed on August 7, 2001.

Under the heading "**Applicant(s)**", please list the Applicants in the following order:

Emilio J. Gutierrez, New York, NY;  
Peter M. Hafermann, Yorba Linda, CA;  
Matthew W. Phillips, Tustin, CA;

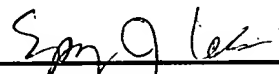
Enclosed is a marked-up photocopy of the Filing Receipt showing the requested correction.

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Correction of the records of the United States Patent and Trademark Office and issuance  
of a corrected filing receipt are respectfully solicited.

Respectfully submitted,

Date: October 8, 2001

  
\_\_\_\_\_  
Spyros J. Lazaris  
Reg. No. 45,981

OPPENHEIMER WOLFF & DONNELLY LLP  
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CONFIRMATION NO. 7832



Bib Data Sheet

<b>SERIAL NUMBER</b> 09/836,003	<b>FILING DATE</b> 04/17/2001 <b>RULE</b>	<b>CLASS</b> 425	<b>GROUP ART UNIT</b> 1732	<b>ATTORNEY DOCKET NO.</b> 17678-12	
<b>APPLICANTS</b> Emilio J. Gutierrez, New York, NY; Peter M. Hafermann, Yorba Linda, CA; Matthew W. Phillips, Tustin, CA;					
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A DIV OF 09/239,104 01/28/1999 PAT 6,276,917					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 05/07/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature _____ Initials _____		<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 2	<b>TOTAL CLAIMS</b> 32	<b>INDEPENDENT CLAIMS</b> 3
<b>ADDRESS</b> Attn: Charles Berman OPPENHEIMER WOLFF & DONNELLY 2029 Century Park East, 38th Floor Los Angeles, CA 90067-3024					
<b>TITLE</b> Powder processing					
<b>FILING FEE RECEIVED</b> 926	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/836,003	04/17/2001	1722	926	17678-12	2	32	3

CONFIRMATION NO. 7832

## FILING RECEIPT



\*OC000000006050369\*

Attn: Charles Berman  
OPPENHEIMER WOLFF & DONNELLY  
2029 Century Park East, 38th Floor  
Los Angeles, CA 90067-3024

Date Mailed: 05/07/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

→ Peter M. Hafermann, Yorba Linda, CA;  
→ Emilio J. Gutierrez, New York, NY;  
Matthew W. Phillips, Tustin, CA;

## Assignment For Published Patent Application

BOTANICALS INTERNATIONAL;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A DIV OF 09/239,104 01/28/1999

## Foreign Applications

If Required, Foreign Filing License Granted 05/07/2001

Projected Publication Date: 08/16/2001

Non-Publication Request: No

Early Publication Request: No

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